Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-JUN-21** 

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 15-JUL-2021 16:58:10

Procession         Adjustments         Value           11         Property & Equipment         4.824.867         4.824.867           13         Incoget A Equipment         200.000         200.000         200.000           14         Incoget A Equipment         200.000         200.000         200.000         200.000           14         Incoget A Equipment         200.000         200.000         200.000         200.000           15         Incompton the Data Construction of Data States         200.000         200.000         200.000           16         Into The Data Construction of Data States         200.000         200.000         200.000           16         Into The Data Construction of Data States         200.0000         200.0000         200.0000		Subinission Date 13-501-2021 10.30.10		Page	1 Of 3
11         Property & Equipment         4824.887           13         Property & Equipment         4824.887           14         Interforment in Cock Securities         200.000         200.000           15         Interforment in Cock Securities         200.000         200.000           16         Interforment in Cock Securities         200.000         200.000           17         Interforment in Cock Securities         200.000         200.000           18         Securities in the case of terrule upto 1 year.         200.000         200.000           19         Securities in the case of terrule form 1.2 years.         200.000         200.274.270           19         Itside of the calenco densit ordinate of the cale of terrule form 1.2 years.         200.000         414.458.07           10         Itside of Yan U walk of cacch acception and the cale of terrule form 1.2 years.         200.000         600.000           10         Itside of Yan U walk of cacch acception and the cale of the securities walk on the cale of the securities	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
1.1     Program (V & Equipment)     4.886.897       1.3     Invariance in Geb. Securities     200.000       1.4     Investment in Geb. Securities     200.000       1.5     Interdent in Geb. Securities     200.000       1.6     Interdent in Geb. Securities     200.000       1.7     Interdent in Geb. Securities     200.000       1.8     Internet in Geb. Securities     200.000       1.8     Internet in Geb. Securities     200.000       1.8     Internet in Geb. Securities     200.000       1.9     Internet in Geb. Securities     200.000       1.0     Internet in Geb. Securities			Pak Rupee	Adjustments	Value
Theorem         Producting with a putternin         200,000           1.3         Investment in Dext. Securities	1	Assets			
13       Introngital Asses       200,000         14       Introspital in Deck Securities          15       Introspital in Deck Securities          16       Introspital in Deck Securities          17       Introspital in Deck Securities          18       INTSO The balance sheet value, in the case of ferune from than 3 yeas.          19       Introspital in Deck Securities          10       Introspital in Deck Securities          10       Introspital in Deck Securities          11       Introspital in Deck Securities          11       Introspital in Deck Securities          12       Introspital in Deck Securities          13       Introspital in Deck Securities          14       Introspital in Deck Securities           15       Introspital in Deck Securities            14       Introspital in Deck Securities            15       Introspital in Deck Securities             16       Introspital in Deck Securities             17       Intro	1.1	Property & Equipment	4,824,667	4,824,667	
14       Investment in Deck. Securities         If Isted than:       Investment in Securities are and tenses upon types.         If Sec if the balance dense tuble, in the case of tenses upon types.       Investment in Securities and the securities are and the securities and the problem in the case of tenses upon types.         If USE of the balance dense tuble, in the case of tenses upon types.       Investment in Securities and tenses of tenses upon types.         If USE of the balance dense tuble, in the case of tenses upon types.       Investment in Securities and tenses of tenses upon types.         If Sec if the balance dense tuble.       Investment in Securities and tenses of tenses upon types.       Investment in Securities and tenses of tenses upon types.         If Sec if the balance dense tuble.       Investment in Securities and tenses of tenses upon types.       Investment in Securities and tenses of tenses upon types.         If Sec if the balance dense tuble.       Investment in Securities and tenses of tenses upon types.       Investment in Securities tuble.       Investment in Securities tuble.         If an intervent in Securities and the problem tenses are unrealing allow (Liphy 2017).       Investment in Securities tuble.       Investment in Securities tuble.       Investment in Securities tuble.         If a tense balance dense unreal to the securities tuble and tense of tense unreal to the securities tuble.       Investment in Securities tuble.       Investment in Securities tuble.         If a tensebalance dense unreal to the securities tuble and tense o	1.2	Intangible Assets	200,000	200,000	
If listed them       Image: Instance short value, in the case of forume up to 1 year.       Image: I					
15% of the balance sheet value, in the case of forme upper lyses.					
II. 105: of the balance sheet value, in the case of some of more than 3 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of some upper 1 year.         III. 105: of the balance sheet value, in the case of the case of the case of the balance.         III. 105: of the balance sheet value, in the case of the case of the case of the balance.         III. 105: of the balance sheet value, in the case of the case of the balance.         III. 105: of the balance sheet value, in the case of the case of the balance.         III. 105: of the balance sheet value, in the case of the case of the balance sheet value.         IIII. 105: of the balance sheet value.         IIII. 105: of the balance sheet value.         IIII. 105: of the balance sheet value.         IIIII. 105: of the balance sheet value.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII		i. 5% of the balance sheet value in the case of tenure upto 1 year.			
In Unitsed ham.       Image: Construction of the state of terms up to 1 year.         III 1256.01 the balance sheet value. In the case of terms from 1-3 years.       Image: Construction of the state of terms		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.10% of the balance sheet value in the case of terms opto 1 year.					
II. 155: Of the balance sheet value, in the case of tenue of more than 3 years.         II. Texter with In Equity Social Soc		i. 10% of the balance sheet value in the case of tenure upto 1 year.			
15       Investment in Equity Securities					
If litested 1% or Vak of each securities on the cutoff date as computed by the Securities Exchange for sources with exchanges of careful sources of exclusions of	1.5	j			
III function         8.000,000         0         9.000         0         9.000         0         9.000         0         9.000         0         9.000         0         9.000         0         9.000         0         9.000         0         9.000         0         9.000         9.000         9.000         9.000         9.000         9.000         9.000         9.000         9.000         9.000         9.000         9.000         9.000			503,214,219	88,755,702	414,458,517
III Subscipilor money against investment in PCMPTer for Sale: Amount paid as subscipion money         8,000,000         0         8,000,000           PN100% Haticut shall be applied to Value of Investment in any asset including shares of Steed securities         24,896,926         24,896,926           PN100% Haticut shall be applied to Value of Investment in any asset including shares of Steed securities         24,896,926         24,896,926           Presided in favor of Stock Fichange / Clearing Hause sparse Margin Financing requirements or pledged in favor of Stock Fichange anagements in subclastices         24,896,926         24,896,926           1.1         Investment in associated companies/undertaking              1.1         Investment in subclastices               1.1         Investment in subclastices companies/undertaking <td></td> <td>respective securities whichever is higher.</td> <td></td> <td></td> <td></td>		respective securities whichever is higher.			
provided that shares have not not included in the investments of socutities broker.         24.896.926           w1005. Histort shall be applied to Value of Investment in socuties which are in Black. Freeze or Pledge status as on responsing date. (July 19, 201)         24.896.926         24.895.926           In a set in Black. Freeze or Pledge status as on responsing date. (July 19, 201)         24.895.926         24.895.926           In a set in Black. Freeze or Pledge status as on responsing date. (July 19, 201)         24.895.926         24.895.926           In over the status in the too responsion of interventer in insecutities which are or checking date of the status as on responsion. In such cases, the batcu as provided in the vector of status applicable (Lugual 22, 2017)         16           Investment in associated companies/undertaking         11         11.92           1.1         Investment in associated companies/undertaking         11.92           1.2         Investment in associated companies/undertaking         11.94.891           1.3         Investment in associated companies/undertaking         12.238.022         0         12.238.022           1.4         Investment in associated companies border on accurities under SIB         6.000,000         6.000,000         6.000,000           1.4         Accured Interretedary approximation or where on amounts placed with financial institutions or dobt securities         11.10         11.11.11.194.891         11.12.82.802         0			8,000,000	0	8,000,000
In that are in Block, Freeze or Predge status as on reporting date'. (July 19: 2017)         Provided that 100% hatcus shall note applied in case or investment in those securities which are Predged in favor or Stock Exchange (Clearing House against Margin Friancing requirements or pledged in source of Banka against Short learn friancing areagements. In such cases, the haircut a provided in schedule ill of the Regulations inrespect of investment in securities shall be applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities shall be applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities shall be applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities shall be applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities the applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities the applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities the applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities the applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities the applicable (August 2, 2017)         Immediate the Regulations inrespect of investment in securities the applicable (August 2, 2017)         Immediate (August 2, 2017)         Immediate (August 2, 2017)           10         Integration (August 2, 2017)         Immediate (August 2, 2017)         Immediate (August 2, 2017)         Immediate (August 2, 2017)           11         Integration (August 2, 2017)         Immediate (August 2, 2017)         Immediate (August 2, 2017)					
Provided that 100% halicut shall not be applied in case of investment in those securities which are present of in favor of Boarks against Short Term financing arrangements in such cases, the halicut as provided in the investment in subdialias in sequence of investment in sucurities shall be applicable (August 5, 2017)           16         Investment in associated companies/undertaking         —           17         Investment in associated companies/undertaking         —           18         Statutory or equilatory insequence by the Securities Exchange for respective securities         —           18         Statutory or equilatory depositions in expective securities         —           19         Mergin deposition intermediant against bortweet securities and the applicable (August 2, 200)         11,194,891           10         Received and the mergin against bortweet securities and the applicable (August 2, 200)         12,238,022         0           110         Depositive intermediant against bortweet securities and the applicable (August 2, 200)         12,238,022         0         12,238,022           111         Other deposition or mark-up on amounts placed with financial Institutions or debt securities         6,000,000         6,000,000           112         Acccure alterest provide and and the related parties         100 interposition or mark-up on amounts placed with financial institutions or debt securities in all markets including intermediant against and provide and and the related parties         1100 interposition (August 2, 200)			24,896,926	24,896,926	
In favor of Banks against Shott term financing arrangements. In sich cases, the halicut as provided in schedule lif of the Regulations in respect of investments shall be applicable (August 25, 2017)  1.6 Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking 1.8 Instantiate application of the second state of the second st		Provided that 100% haircut shall not be applied in case of investment in those securities which are			
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)         Image: Companies/andertaking           1.6         Investment in subsidiated         Image: Companies/andertaking         Image: Companies/andertaking           1.7         Investment in associated companies/andertaking         Image: Companies/andertaking         Image: Companies/andertaking           1.8         Catalogy of reach socurities as computed by the Securities Exchange for respective securities         Image: Companies/andertaking         Image: Companies/andertaking           1.8         Statutory or regulatory deposity deposity with the exchanges, clearing house or central depository         11,194,891         Image: Companies/andertaking           1.9         Margin deposity with exchange and clearing house.         12,238,022         0         12,238,022           1.1         Deposition of mark-up on amounts placed with financial institutions or debt securities         6,000,000         11           1.10         Accrued Interest, portit or mark-up on amounts placed with financial institutions or debt securities         6,000,000         12,238,022           1.10         Monotin secervables         6,000,000         11         12,000           1.11         Accrued Interest, portit or mark-up on amounts placed with financial institutions or debt securities         12,000         12,000           1.11         Amount plad as purchere					
1.7       Investment in associated companies/undertaking       In the day of a cach securities acomputed by the Securities Exchange for respective securities         1.8       Statutory or regulatory depositisatis deposits with the exchanges, clearing house or central depository or any other entity.       11,194,891         1.9       Margin deposits with exchange and clearing house.       12,238,022       0         1.10       Deposits with exchange and clearing house.       12,238,022       0         1.11       Other deposits and prepayments       6,000,000       6,000,000         1.12       Accrued interest, point or mark-up on amounts placed with financial institutions or debt securities       6,000,000         1.12       Accrued interest, point or mark-up on amounts placed with financial institutions or debt securities       6,000,000         1.13       Dividends receivable against Repo financing.       4       4         1.14       Armount seceivable against Repo financing.       649,263       649,263         1.14       Receivables of making accrued on lears to directors, subsidiaries and other repo arrangement shick including MM dains.       649,263       649,263         1.16       Receivables of mol clearing house or securities exchange(s)       1       1       1         1.17       Receivables on millements against trading of securities in all markets including MM gains.       1       1					
If lited 20% or VaR of each second base computed by the Securites Exchange for respective securities            If unisted. 10% or VaR of each second base computed by the Securites Exchange for respective securities            If unisted. 10% or ret value.            18         Statutory or regulatory deposits data deposits with the exchanges, clearing house or central depository         11,194,891           19         Margin deposits with exchange and clearing house.         12,238,022         0         12,238,022           110         Deposit with authorized intermediary against borrowed securities under SLB.         6,000,000         6,000,000           111         Other deposits and prepayments         6,000,000         6,000,000         6,000,000           112         Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities         6,000,000         6,000,000         6,000,000           111         Other deposits and prepayments         6,000,000 <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>					
whichevers is higher.         iii function           1.8         Statutory or regulatory depositishasic deposits with the exchanges, clearing house or central depository or any other entity.         11,194,891         11,194,891           1.9         Margin deposits with exchange and clearing house.         12,238,022         0         3,238,338         0         0         3,6500         0         3,6501         0         3,6501         0         3,6501         0         3,6501 </td <td>1.7</td> <td></td> <td></td> <td></td> <td></td>	1.7				
1.8       Statutory or equilatory depositivabaic deposits with the exchanges, clearing house or central depository       11.194,891       11.194,891         1.9       Margin deposits with exchange and clearing house.       12.238,022       0       12.238,022         1.10       Depositive intermediang against borowed securities under SLB.       6.000,000       6,000,000         1.11       Other deposits with auchange and clearing house.       6.000,000       6,000,000         1.12       Accured Interest, pofit or mark-up on amounts placed with financial institutions or debt securities       6.000,000       6,000,000         1.12       Accured Interest, pofit or mark-up on amounts placed with financial institutions or debt securities       6.000,000       6,000,000         1.13       Dividends receivables against Repo financing.       7.000       7.000       7.000         1.14       Amount sice/evables against Repo financing.       649,263       649,263       649,263         1.14       Receivables other than those on account of entitlements against trading of securities in all markets including MtM gains.       649,263       649,263       649,263         1.16       Receivables from clearing house or securities in all markets including MtM gains.       1.11       1.11       Receivables from clearing house or securities in all markets including VA based haicut.       1.11       1.11       1.11       Receivables					
or any other entity.       Image: Control of Con	1.0		44 404 004	44 404 004	
1.9       Margin deposits with exchange and clearing house.       12,238,022       0       12,238,022         1.10       Deposit with authorized intermediary against borrowed securities under SLB.       6,000,000       6,000,000         1.11       Other deposits and prepayments       6,000,000       6,000,000         1.12       Accound interest, profit or mak-up on amounts placed with financial institutions or debt securities       6,000,000       6,000,000         1.12       Accound interest, provide against Repo financing.       6,000,000       6,000,000         1.13       Dividends receivables.       0       0       36,500         1.14       Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arangement shall not be included in the investments).       36,500       0       36,500         1.14       Amount paid as purchaser under the REPO agreement. (Securities exchange(s).       649,263       649,263       649,263         1.16       Receivables other than thade receivables       649,263       649	1.8		11,194,891	11,194,891	
1.11       Other deposits and prepayments       6,000,000       6,000,000         1.12       Accound Interset, portify or mark-up on amounts placed with financial institutions or debt securities       6,000,000       6,000,000         1.12       Accound Interset, portify or mark-up on amounts placed with financial institutions or debt securities       6,000,000       6,000,000         1.13       Dividends receivable against Repo financing, Amount pield as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       0       36,500       0       36,500         1.14       Amount pield sequents from clearing house or securities exchange(s)       649,263       649,263       649,263         1.16       I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       36,500       0       36,500         1.16       Receivables from clearing house or securities exchange(s)       649,263       649,263       649,263         1.16       Receivables from customers       1       1       1       1       1       1       1       1         1.17       Receivables from customers       1       1       1       1       1       1       1       1       1       1       1       1       1       1       1       1       1       1		Margin deposits with exchange and clearing house.	12,238,022	0	12,238,022
1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities <ul> <li>etc.(Nil)</li> <li>100% in respect of markup accrued on loans to directors, subsidiaries and other related parties</li> <li>113</li> <li>Dividends receivable against Rep financing,             <ul></ul></li></ul>			6 000 000	6 000 000	
100% in respect of markup accued on loans to directors, subsidiaries and other related parties            113         Dividends receivables            114         Amount paid as purchased under the REPO agreement. (Securities purchased under repo arrangement shind to be included in the investments.)            115         Dividends receivables are secured and Due for repayment within 12 months         36,500         0         36,500           116         Receivables from clearing house or securities exchange(s)          649,263         649,263           11.00% value of claims other than trade receivables         649,263         649,263            117         Receivables from clearing house or securities exchange(s)              11.00% value of claims other than trade receivables         649,263         649,263            11.00% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.              11.17         Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.              11.17         Receivables are against margin financing. Store the ablance sheet value.		Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	0,000,000	0,000,000	
1.13       Dividends receivables       Image: Construct and the investments         1.14       Amounts receivable against Repo financing, Amounts receivable against Repo financing, Shoft Tem Loan 16 Employees: Loans are Secured and Due for repayment within 12 months       36,500       0       36,500         1.15       I. Shoft Tem Loan 16 Employees: Loans are Secured and Due for repayment within 12 months       36,500       0       36,500         1.16       Receivables from Clearing house or securities exchange(s)       649,283       649,283       649,283         1.10       Receivables from Clearing house or securities exchange(s)       1					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).         Securities purchased under report and the report of the repor		Dividends receivables.			
shall not be included in the investments)       in the investments)       in the investments)         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       36,500       0       36,500         1.16       Receivables other than trade receivables       649,263       649,263         1.16       Receivables from Clearing house or securities exchange(s)       in 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       in the receivables from customers       in the receivables from customers         1.17       Receivables from customers       in case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.       in case receivables are against margin trading. % of the net balance sheet value.       in the amount after deducting haircut       in case receivables are against margin trading. % of the net balance sheet value.       ii. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract.       iii. Incase receivables are against securities borrowings under SLB, the angregate of (i) the market value.       3.963,575       0       3.963,575         10       Net amount after deducting haircut       iv. case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value.       3.963,575       0       3.963,575         110       Iv. ash deposited as collateral	1.14				
ii. Receivables other than trade receivables       649,263       649,263         1.16       Receivables from clearing house or securities exchange(s)					
1.16       Receivables from clearing house or securities exchange(s)       Image: Control of C	1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	36,500	0	36,500
1.16       Receivables from clearing house or securities exchange(s)       Image: Control of C		ii. Receivables other than trade receivables	649.263	649.263	
markets including MtM gains.	1.16			,	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       Image: Control of the securities of the securities in all markets including MtM gains.         1.17       Receivables from customers       Image: Control of the securities of the securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Control of the securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       Image: Control of the securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, ili. Net amount after deducting haircut       Image: Contract, ili. Net amount after deducting haircut         ii. Incase receivables are against more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0       3,963,575         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       910,969       910,969       910,969         v. Incase of other trade receivable ac collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       2,991,856       2,991,856       2,991,856         1.18       Cash and Bank balances       2,991,856       2,991,856       2,991,856       2,991,856       2,6,498		5 5			
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivables are neceivables are overdue, or 5 days overdue, 0% of the net balance sheet value.         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       910,969         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       2,991,856       2,991,856         1.18       Cash and Bank balances       2,6498       0       26,498       26,498       26,498       28,771,137       0       29,771					
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)       market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut       3,963,575       0         v. Incase of other trade receivables net or uso more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0       3,963,575         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       910,969       910,969         vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856       2,991,856         1.18       Cash and Bank balances       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0       26,498       0 <t< td=""><td>1.17</td><td>Receivables from customers</td><td></td><td></td><td></td></t<>	1.17	Receivables from customers			
market value of any securities deposited as collateral after applying VaR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Net amount after deducting haircut         iii. Net amount after deducting haircut       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0       3,963,575         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       2,991,856       2,991,856         1.18       Cash and Bank balances       26,498       0       26,498         ii. Bank balance-customer accounts       20,771,137       0       29,771,137					
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Net amount after deducting haircut       3,963,575       0         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       20,975,302       910,969         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       20,975,302       910,969         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       20,975,302       910,969         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       20,975,302       910,969         v. Incase of acculteral after applying VAR based haircuts.       2,991,856       2,991,856         v. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856         1.18       Cash and Bank balances       1       1         i. Bank Balance-proprietory accounts       26,498       0       26,498       0       26,498       0       26,					
ii. Net amount after deducting haircut       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0       3,963,575         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       20,975,302       910,969       910,969         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       20,975,302       910,969       910,969         value of securities purchased for customers and held in sub-accounts after applying VAR based       ascollateral after applying VAR based       2,991,856       2,991,856         vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856       2,991,856         1.18       Cash and Bank balances       i. Bank Balance-proprietory accounts       26,498       0       26,498       0       26,498       0       29,771,137       29,771,137       0       29,771,137					
iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       20,975,302       910,969         vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856       1.18         Cash and Bank balances       i. Bank Balance-proprietory accounts       20,971,137       0       26,498       0       26,498		5 5 5			
iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0       3,963,575         iv. Balance sheet value       3,963,575       0       3,963,575       0       3,963,575         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       20,975,302       910,969       910,969         value of securities purchased for customers and held in sub-accounts after applying VAR based       accounts after applying VAR based       accounts after applying VAR based       accounts after applying VAR based         vi. 100% haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of       securities held as collateral after applying VAR based haircuts.       2,991,856       2,991,856       2,991,856         1.18       Cash and Bank balances       i. Bank Balance-proprietory accounts       26,498       0       26,498         ii. Bank balance-customer accounts       29,771,137       0       29,771,137       29,771,137		iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,963,575       0       3,963,575         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       20,975,302       910,969       910,969         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       20,975,302       910,969       910,969         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       2,991,856       2,991,856         vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856       2,991,856         1.18       Cash and Bank balances       i. Bank Balance-proprietory accounts       26,498       0       26,498         ii. Bank balance-customer accounts       29,771,137       0       29,771,137					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       20,975,302       910,969       910,969         vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856       2,991,856         1.18       Cash and Bank balances       1.8 ank Balance-proprietory accounts       26,498       0       26,498         ii. Bank balance-customer accounts       29,771,137       0       29,771,137       29,771,137		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	3,963,575	0	3,963,575
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.			20 075 202	010 060	010 060
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.       2,991,856       2,991,856         vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856         1.18       Cash and Bank balances       26,498       0       26,498         ii. Bank balance-proprietory accounts       29,771,137       0       29,771,137			20,975,302	910,909	910,909
vi. 100% haircut in the case of amount receivable form related parties.       2,991,856       2,991,856         1.18       Cash and Bank balances					
1.18Cash and Bank balancesi. Bank Balance-proprietory accounts26,4980ii. Bank balance-customer accounts29,771,1370			2,991.856	2,991.856	
ii. Bank balance-customer accounts 29,771,137 0 29,771,137	1.18	Cash and Bank balances	_,,	_,,	
					26,498
		iii. Cash in hand	29,771,137	0	29,771,137

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-JUN-21** 

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 15-JUL-2021 16:58:10

	Submission Date 13-30E-2021 10.30.10		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	628,982,856	140,424,274	469,405,218
2	Liabilities	, ,	, ,	, ,
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	78,399,884	0	78,399,884
	iii. Payable to customers	29,771,138	0	29,771,138
2.2	Current Liabilities			
	i. Statutory and regulatory dues			
	ii. Accruals and other payables iii. Short-term borrowings	7,697,705 248.088.617	0	7,697,705
	iv. Current portion of subordinated loans	240,000,017	0	240,000,017
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts	05 405 007	0	05 405 007
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements	25,485,987 5,200,397	0	<u>25,485,987</u> 5,200,397
2.3	Non-Current Liabilities	0,200,007	0	0,200,007
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	III. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	394,643,728	0	394,643,728
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	<ul> <li>(i) the 50% of Haircut multiplied by the underwriting commitments and</li> <li>(ii) the value by which the underwriting commitments exceeds the market price of the securities.</li> </ul>			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			7
3.5	exceed the total liabilities of the subsidiary			
3.0	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-JUN-21** 

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 15-JUL-2021 16:58:10

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	234,339,128	140,424,274	74,761,490